

TRADE COMPLIANCE

STATEMENT OF ASSURANCE and BAFA END USE CERTIFICATE: ACCEPTANCE CRITERIA

DOCUMENT	REQUIREMENT	TRADE COMMENTS
STATEMENT OF ASSURANCE ("SOA"):		
Letterhead / Stamp	SOA must have Letterhead and/or stamp.	SOA will be rejected if Letterhead and/or stamp is not present. If End User claims they have no letterhead or stamp, they will need to provide a written, signed statement to that effect. Please contact Trade for requirements.
Section A : Restricted End Uses	This section must be completed.	SOA will be rejected if this data is not present.
Section C : Ultimate End Use	This section must be completed In English and describe the use applications of the Cascade Product.	SOA Cert will be rejected if this data is not present. If EU is unable to provide data in English, local CSCD office needs to provide translation.
Section D: End User address	This section must be completed in English. PO boxes are not acceptable, it must be a valid street address.	SOA will be rejected if this data is not present. Sales Admin: please ensure that End User Name and Address on the Sales Order matches the End User address on the SOA.
Signature section	This section must be completed in full. Signature, Name, Title (position), Date and Phone number to be provided in English Characters.	SOA will be rejected if this data is not present.
General Note:	We often have Customers who do not wish to complete CSCD's SOA template because they have their own existing format. We will accept Customer's own format as long as it still provides assurance that the product will be used in accordance with the U.S. Export Administration Regulations ("EAR"). Requires Letterhead and Signature section.	Please contact Trade with any requests or questions regarding this requirement.
BAFA END USE CERTIFICATE ("BAFA EU Cert."):		
Letterhead / Stamp	BAFA must have Letterhead and stamp.	BAFA EU Cert. will be rejected if the Letterhead is not present. If End User claims they have no letterhead or stamp, they will need to provide a written, signed statement to that effect. Please contact Trade for requirements.
Section C : i) Country	Country in which the items will be used must be listed here.	BAFA EU Cert will be rejected if this data is not present.
Section C : ii) End User address	This section must be completed in English. PO boxes are not acceptable, it must be a valid street address.	BAFA EU Cert will be rejected if this data is not present. Sales Admin: please ensure that End User Name and Address on the Sales Order matches the End User address on the BAFA EU Cert.
Section C : iii) Ultimate End Use	This section must be completed In English and the sentence must adequately describe the use applications of the Cascade Product (i.e. no vague descriptions).	BAFA EU Cert will be rejected if this data is not present.
Section D: Signature section	This section must be completed in full. Name, Title (position), Date and Phone number to be provided in English Characters.	BAFA EU Cert will be rejected if this data is not present.
Section E : Original document requirement	BAFA regulations require an original EU Cert. be kept on file by CMD. Customer needs to physically send completed EU Cert. to CMD as instructed.	Please contact Trade with any questions regarding this requirement.